

 Solution

Watch List Filtering in AML Risk Manager

Comprehensive Watch List Filtering and Sanctions-Screening Solutions for Investment Firms

Effective sanctions and politically exposed person (PEP) screening ensures your investment firm adheres to regulations. AML Risk Manager from Fiserv provides award-winning, list-matching capabilities to help meet your firm's anti-money laundering (AML) and Combating the Financing of Terrorism (CFT) compliance requirements.

With increasingly complex watch list and sanctions-screening regulations enforced across the globe, list-matching technology must adapt and scale to meet the challenges that compliance departments face daily.

Successfully Meet Your Challenges

The watch list filtering capabilities in AML Risk Manager provide innovative matching analytics and robust alert and case management features to help your firm mitigate compliance risks, reduce operational costs and achieve long-term regulatory, operational and IT objectives.



Smart-Screening Technology

The watch list filtering capabilities in AML Risk Manager offer high-volume processing and sophisticated matching configuration options for investment firms of all sizes that require flexibility, scalability and fast response times. Those options ensure that firms can meet the standards set forth by regulators and provide proper risk management.

Advanced matching techniques in our solution include:

- Straight compare and straight compare reverse
- Longest pattern
- Soundex difference and phonetic matching
- Concatenated name
- Synonym matching
- Fuzzy-text matching and Levenshtein distance
- Transliteration

Complete Screening Coverage and List Support

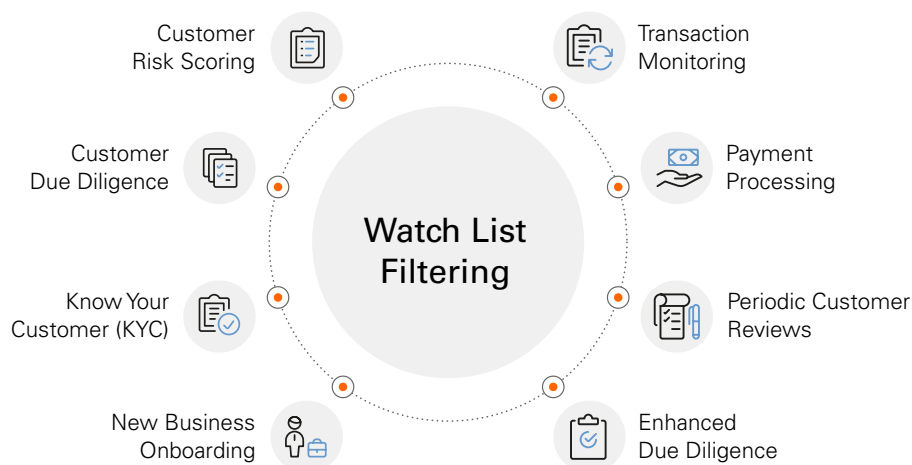
Our watch list filtering solution allows you to screen data on:

- Clients
- Accounts and policies
- Intermediaries
- Financial advisors
- Counterparties
- Signers
- Employees
- Vendors
- Payments
- Trade messages

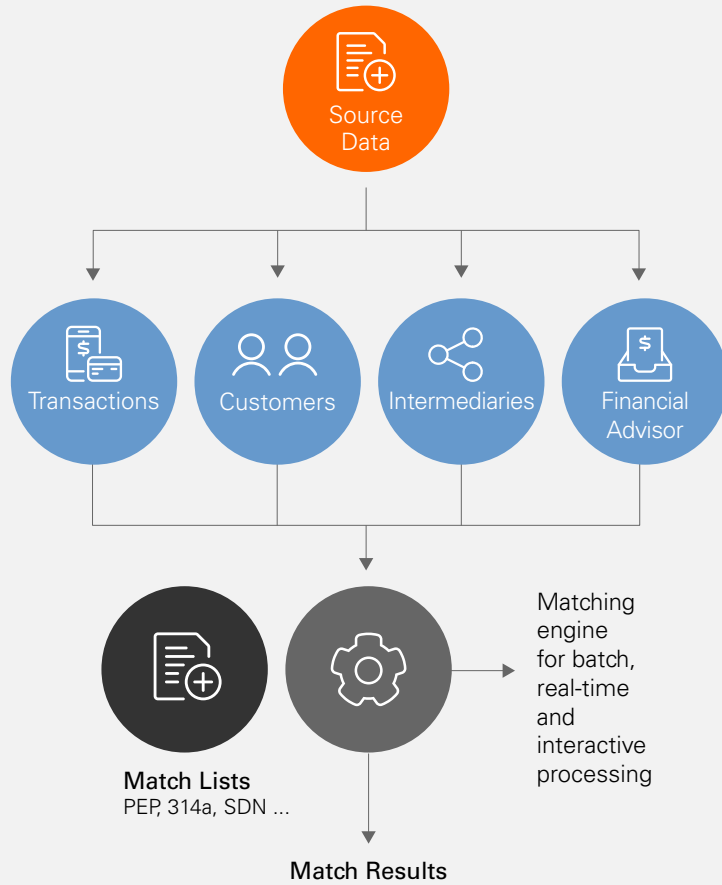
Offering full list support, including:

- Government agencies, including Office of Foreign Assets Control, Financial Crimes Enforcement Network, European Union, Office of the Superintendent of Financial Institutions and Her Majesty's Treasury
- Lists provided by industry-leading vendors such as Dow Jones, Accuity and Thomson Reuters
- Custom lists

To ensure comprehensive compliance, our watch list filtering capabilities can be applied to every process in an AML program.



AML Risk Manager: Investigate, Act and Resolve



Flexible Screening Options

Multiple processing options will fulfill basic and highly complex list-matching requirements. Batch, real-time and interactive matching methods are provided to help investment firms of all sizes meet their specific regulatory and risk mitigation requirements.

List Management and Support

Lists are supported for screening transactions, accounts and customers. Lists provided by government agencies and industry-leading third parties are fully supported to meet your business and regulatory requirements.

Smart-Screening Technology

A combination of next-generation scoring and matching algorithms – within an innovative processing architecture – ensures fast and accurate matching results while reducing false positives.

Effective Investigation Tools


Intuitive case management, navigation and user-interface assets ensure alerts are investigated and resolved quickly and accurately. Functionality to minimize false positives and consolidate alerts for multiple matches within a single transaction helps ensure cases are efficiently investigated for rapid resolution.


About AML Risk Manager

AML Risk Manager is an industry-leading anti-money laundering solution that is comprehensive, efficient and adaptive to uncover even the most sophisticated crime schemes. Highly regarded by industry analysts, AML Risk Manager received 2020 FTF New Technology Innovation awards for Best Compliance Solution (Finalist) and Best Financial Crime Prevention Technology (Finalist) as well as 2019 The Asian Banker Risk Technology Implementation awards for Compliance Risk Technology Implementation of the Year and Finance Technology Innovation of the Year.

Connect With Us

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Fiserv is driving innovation in Payments, Processing Services, Risk & Compliance, Customer & Channel Management and Insights & Optimization. Our solutions help clients deliver financial services at the speed of life to enhance the way people live and work today.

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